Semaphores

The action of process A waiting for process B to finish something before A can continue is very common

Semaphores

The action of process A waiting for process B to finish something before A can continue is very common

E.g., waiting for data to be written to an area of shared memory

Semaphores

The action of process A waiting for process B to finish something before A can continue is very common

E.g., waiting for data to be written to an area of shared memory

It is a very simple form of IPC

Semaphores

The action of process A waiting for process B to finish something before A can continue is very common

E.g., waiting for data to be written to an area of shared memory

It is a very simple form of IPC

Signals can be used, but an alternative is to use a semaphore

Semaphores

The action of process A waiting for process B to finish something before A can continue is very common

E.g., waiting for data to be written to an area of shared memory

It is a very simple form of IPC

Signals can be used, but an alternative is to use a semaphore

A signal is appropriate when you want to continue computing on something else while waiting; a semaphore is for *pausing* and waiting (i.e., blocked)

Semaphores

Invented by Dijkstra, semaphores have been used widely for may years

Invented by Dijkstra, semaphores have been used widely for may years

A semaphore is a variable whose value can only be accessed and altered by two operations V and P (Dijkstra is Dutch)

Invented by Dijkstra, semaphores have been used widely for may years

A semaphore is a variable whose value can only be accessed and altered by two operations V and P (Dijkstra is Dutch)

Alternative names are: signal and wait; post and wait; raise and lower; up and down; lock and unlock and others

Let S be a semaphore variable, usually residing in a chunk of shared memory

Let S be a semaphore variable, usually residing in a chunk of shared memory

Start with S = 1

Let S be a semaphore variable, usually residing in a chunk of shared memory

Start with S = 1P(S):

```
if S = 1 then set S = 0
else block on S
```

Let S be a semaphore variable, usually residing in a chunk of shared memory

```
Start with S = 1
P(S):
if S = 1 then set S = 0
else block on S
```

V(S): if one or more processes are blocking on S then allow one to proceed else set S = 1

Let S be a semaphore variable, usually residing in a chunk of shared memory

```
Start with S = 1

P(S):

if S = 1 then set S = 0

else block on S

V(S):

if one or more processes are blocking on S then allow one to

proceed

else set S = 1
```

(There are many technical issues we are ignoring here...)

Semaphores

For synchronisation:

```
P(S) P(S) # wait for resource
...modify a resource...
V(S) V(S)
```

The second process will wait until the first has done a V to signal the resource is ready

Semaphores

If multiple processes attempt a P(S) simultaneously only *one* will succeed and continue; the others will be blocked

Semaphores

If multiple processes attempt a P(S) simultaneously only *one* will succeed and continue; the others will be blocked

So if we have code like

P(S) some code V(S)

being run by multiple processes using the shared semaphore S, only one process can execute the code at a time; the others will be blocked and get their turn later

Semaphores

If multiple processes attempt a P(S) simultaneously only *one* will succeed and continue; the others will be blocked

So if we have code like

wait(S)
some code
signal(S)

being run by multiple processes using the shared semaphore S, only one process can execute the code at a time; the others will be blocked and get their turn later

More suggestively using names signal and wait (**not** the same signal as in signals, earlier!)

Semaphores

Generally, the code would be to access some shared resource (often shared memory, e.g., B shouldn't read until A has finished writing), so the semaphore makes sure only one process can access the resource at a time

Semaphores

Generally, the code would be to access some shared resource (often shared memory, e.g., B shouldn't read until A has finished writing), so the semaphore makes sure only one process can access the resource at a time

The protected code is called a *critical section*: it is critical that only one process runs it at a time

Semaphores

Generally, the code would be to access some shared resource (often shared memory, e.g., B shouldn't read until A has finished writing), so the semaphore makes sure only one process can access the resource at a time

The protected code is called a *critical section*: it is critical that only one process runs it at a time

P(S)	P(S)
resource	same resource
V(S)	V(S)

To be effective, all accesses to the resource must be protected by the semaphore

Semaphores

This is a binary semaphore, as it take just two values, 0 and 1

This is a binary semaphore, as it take just two values, 0 and 1

There is a simple generalisation to a *counting semaphore*

This is a binary semaphore, as it take just two values, 0 and 1

There is a simple generalisation to a *counting semaphore*

```
Start with S = n
```

```
P(S):
if S > 0 then set S = S - 1 else
block on S
V(S):
if one or more processes are blocking on S then allow one to
proceed
else set S = S + 1
```

This is a binary semaphore, as it take just two values, 0 and 1

There is a simple generalisation to a *counting semaphore*

```
Start with S = n
```

```
P(S):
if S > 0 then set S = S - 1 else
block on S
V(S):
if one or more processes are blocking on S then allow one to
```

proceed else set S = S + 1

This allows no more than n processes into the region at once

Semaphores were first used within OS kernels to protect shared resources but can be used in user programs to protect resources there, too: for example, a chunk of shared memory (e.g., shared memory IPC)

Semaphores

Correct implementation of user mode semaphores is very hard

Correct implementation of user mode semaphores is very hard We have to ensure that it works even if

Correct implementation of user mode semaphores is very hard

We have to ensure that it works even if

1. the process is rescheduled in the middle between the test and the decrement of the count

Semaphores

Correct implementation of user mode semaphores is very hard

We have to ensure that it works even if

- 1. the process is rescheduled in the middle between the test and the decrement of the count
- 2. there are multiple parallel processors accessing the semaphore simultaneously

Correct implementation of user mode semaphores is very hard

We have to ensure that it works even if

- 1. the process is rescheduled in the middle between the test and the decrement of the count
- 2. there are multiple parallel processors accessing the semaphore simultaneously

Exercise. Read about the implementation of semaphores

Semaphores

Semaphores

Semaphores are widely used

· each semaphore only needs a few bytes of shared memory

Semaphores

- each semaphore only needs a few bytes of shared memory
- they are small and fast given hardware support

Semaphores

- each semaphore only needs a few bytes of shared memory
- they are small and fast given hardware support
- and OK in software

Semaphores

- each semaphore only needs a few bytes of shared memory
- they are small and fast given hardware support
- and OK in software
- used both in OSs and user programs to protect critical resources

Semaphores

- each semaphore only needs a few bytes of shared memory
- they are small and fast given hardware support
- and OK in software
- used both in OSs and user programs to protect critical resources
- and are widely available in POSIX libraries

Semaphores

On the other hand, semaphores are a very low-level mechanism and it is easy to cause deadlock

Semaphores

On the other hand, semaphores are a very low-level mechanism and it is easy to cause deadlock

Suppose we have semaphore S_1 protecting file F_1 and semaphore S_2 protecting file F_2 . Process A wants to read from F_1 and write to F_2 , while process B wants to read from F_2 and write to F_1

Semaphores

On the other hand, semaphores are a very low-level mechanism and it is easy to cause deadlock

Suppose we have semaphore S_1 protecting file F_1 and semaphore S_2 protecting file F_2 . Process A wants to read from F_1 and write to F_2 , while process B wants to read from F_2 and write to F_1

Semaphores

On the other hand, semaphores are a very low-level mechanism and it is easy to cause deadlock

Suppose we have semaphore S_1 protecting file F_1 and semaphore S_2 protecting file F_2 . Process A wants to read from F_1 and write to F_2 , while process B wants to read from F_2 and write to F_1

To make things consistent in the read/writes, both processes must grab both semaphores

Process A grabs semaphore S₁

Semaphores

On the other hand, semaphores are a very low-level mechanism and it is easy to cause deadlock

Suppose we have semaphore S_1 protecting file F_1 and semaphore S_2 protecting file F_2 . Process A wants to read from F_1 and write to F_2 , while process B wants to read from F_2 and write to F_1

- Process A grabs semaphore S₁
- Process B grabs semaphore S₂

Semaphores

On the other hand, semaphores are a very low-level mechanism and it is easy to cause deadlock

Suppose we have semaphore S_1 protecting file F_1 and semaphore S_2 protecting file F_2 . Process A wants to read from F_1 and write to F_2 , while process B wants to read from F_2 and write to F_1

- Process A grabs semaphore S₁
- Process B grabs semaphore S₂
- A tries to grab S₂ and blocks

Semaphores

On the other hand, semaphores are a very low-level mechanism and it is easy to cause deadlock

Suppose we have semaphore S_1 protecting file F_1 and semaphore S_2 protecting file F_2 . Process A wants to read from F_1 and write to F_2 , while process B wants to read from F_2 and write to F_1

- Process A grabs semaphore S₁
- Process B grabs semaphore S₂
- A tries to grab S₂ and blocks
- B tries to grab S₁ blocks

Exercise. Identify the four conditions for deadlock in the above

Exercise: use a counting semaphore to solve the Dining Philosopher's problem

 \square